

P29981.A03

Customer No.: 07055

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) :	Werner FUCHS	Group Art Unit:	Not Assigned
Appln. No. :	10/582,113	Examiner:	Not Assigned
Filed :	December 1, 2004	Confirmation No.:	Not Assigned
For :	MEMBRANE FILTER SYSTEM COMPRISING PARALLEL CROSS-FLOW FILTER MODULES		

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
U.S. Patent and Trademark Office  
Customer Service Window, Mail Stop Amendment  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98,  
Applicant hereby submits a copy the following documents for the Examiner's consideration.

- (1) International Patent Application No. WO 02/26363 published April 4, 2002,  
which is discussed in the instant application beginning in paragraph [0003].
- (2) Japanese Patent Application No. 2002-210336 published July 30, 2002, which is  
discussed in the instant application beginning in paragraph [0003]. Moreover, for  
the Examiner's convenience, Applicants submit herewith a copy of the English  
language abstract.

The following documents were cited in an International Search Report, dated April 12,  
2005, conducted in International Patent Application No. PCT/EP2004/013602, which is a  
counterpart of the above-captioned application. In particular, the Examiner cited:

(3) Japanese Patent Application No. JP 62 197106 A published August 31, 1987 cited as an "X" category document (i.e., particular relevance). The Examiner identified the abstract and Figs. 1 – 5 as relevant to claims 1, 7 – 9 and 11 – 13. Moreover, for the Examiner's convenience, Applicants submit herewith a copy of the English language abstract;

(4) Japanese Patent Application No. JP 2003 144863 A published May 20, 2003 cited as an "A" category document (i.e., general state of the art, not considered to be of particular relevance). The Examiner identified the abstract as relevant to claims 1 – 13. Moreover, for the Examiner's convenience, Applicants submit herewith a copy of the English language abstract;

(5) International Publication No. WO 00/20104 published April 13, 2000 cited as an "A" category document (i.e., general state of the art, not considered to be of particular relevance). The Examiner identified the abstract and Figs. 1 – 6 as relevant to claims 1 – 13. Moreover, for the Examiner's convenience, Applicants identify U.S. Patent Publication No. 2001/013272 A1 published August 16, 2001, which is identified by the Annex to the Search Report as a U.S. patent family member of the above-cited International publication; and

(6) U.S. Patent 4,876,006 A published October 24, 1989 cited as an "A" category document (i.e., general state of the art, not considered to be of particular relevance). The Examiner identified the abstract as relevant.

The following documents were cited in an International Preliminary Examination Report, dated February 7, 2006, conducted in International Patent Application No. PCT/EP2004/013602, which is a counterpart of the above-captioned application. In particular, the Examiner cited:

P29981.A03

Customer No.: 07055

- (7) International Publication No. WO 00/20104, which corresponds to item (5) above;
- (8) International Patent Application No. WO 02/26363, which corresponds to item (1) above; and
- (9) Netherland Patent Publication 1006390.

Each of the above-cited documents are listed on the enclosed completed copy of the PTO-1449 Form. Accordingly, the Examiner is requested to consider these documents and to indicate such consideration by returning a signed and initialed copy of the PTO-1449 Form with the first official communication.

Moreover, copies of the non-U.S. patent documents are being submitted herewith. Additionally, an English-language copy of the International Preliminary Examination Report is being submitted herewith. However, pursuant to the U.S. Patent and Trademark Office's decision to waive the requirement under 37 C.F.R. §1.98 (a)(2)(i), copies of the cited/listed U.S. patents and U.S. published patent applications are not enclosed herewith. Moreover, German-language copies of the International Search Report and the International Preliminary Examination Report have previously been submitted and are not being submitted herewith. However, if any copies are needed, the Examiner is respectfully requested to contact the undersigned.

Applicant notes that while this Information Disclosure Statement is being filed more than three months from the filing date, Applicant has not received a first action on the merits from the U.S. Patent and Trademark Office. Accordingly, consideration of the enclosed documents are required under 37 C.F.R. 1.97(b)(3).

However, if a first action on the merits has mailed prior to the filing date of this Supplemental Information Disclosure Statement, Applicant hereby authorizes Commissioner to

Receipt date: 02/05/2007

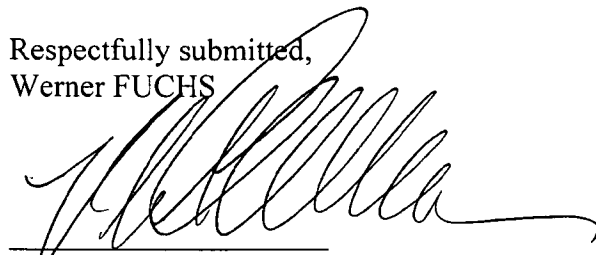
P29981.A03

Customer No.: 07055

charge any fees necessary for the consideration of the documents cited herein to Deposit Account No. 19-0089.

Should there be any questions or comments, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted,  
Werner FUCHS



Neil F. Greenblum  
Reg. No. 28,394

Robert W. Mueller  
Reg. No. 35,043

February 5, 2007  
GREENBLUM & BERNSTEIN, P.L.C.  
1950 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

FORM PTO-1449

U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No.  
P29981

Application No.  
10/582,113

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT  
(Use several sheets if necessary)**

Applicant  
Werner FUCHS

Filing Date  
December 1, 2004

Group  
Not Yet Assigned

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	0	2 / 2 6 3 6 3	04/04/02	W.I.P.O.			
	2002	- 2 1 0 3 3 6	07/30/02	JAPAN			
	62	- 1 9 7 1 0 6	08/31/87	JAPAN			
	2003	- 1 4 4 8 6 3	05/20/03	JAPAN			
	0	0 / 2 0 1 0 4	04/13/00	W.I.P.O.			
		1 0 0 6 3 9 0	03/01/99	NETHERLANDS			

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	1	English Language Abstract of JP 2002-210336.
	2	English Language Abstract of JP 62-197106.
	3	English Language Abstract of JP 2003-144863.

EXAMINER /Ana Fortuna/

DATE CONSIDERED /Ana Fortuna/

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /A.F./